

GOVERNMENT REGULATIONS and OPERATIONS COMMITTEE

1. The main aim of this committee is to work in conjunction with other committees to ensure all government stipulated laws, regulations, policies, standards and thresholds are maintained in company operations. This can include rating, data, transaction, information security or trading laws
2. This committee shall ensure that all members of the board and management team and all employees are aware of regulations and operational standards and make sure they all refrain from trading, tipping, recommending or disclosing any material or potentially material inside or business secret information of company, its stakeholders, members, employees, clients or service providers.
3. This committee shall ensure that any person of the company is prohibited for any act of trading, tipping, recommending or disclosing any material or non-public information in any form, also prohibit them to assist anyone to engage in any such activity or tendering to offer such activity. This information includes any or all data of clients, employees, regulators, or company's patented, patent pending, patentable or confidential information or data and other business or trade secrets or any commercially sensitive information.
4. This committee is to ensure all members and employees of the company are prohibited from trading of any form or security based on the information or data they have accessed to during their work with the company.
5. To this effect, committee shall review and recommend company management which policies and standards are to be implemented and how they will be operationalised so that company's licenses and trading activities are not affected adversely.
6. The committee shall also enable and help the management to set up worldwide operations with same standards as this subsidiary in the UK since the UK ratings agency will be pivotal in the business expansion of credit ratings for the parent company.
7. The bottom line is to ensure there is no occurrence, existence or scope of "insider trading" in any form of any material information and this shall also include to prohibit any form of misuse or misappropriation.
8. This committee shall refer any business-related matter requiring investigation to governance and performance committee or individual related matter requiring investigation to profit protection committee in the strictest of confidence in the first instance.