

COMPLIANCE and INDICES COMMITTEE

1. This committee facilitates compliance in multiple ways being an informational and advisory resource for the ratings and indices regulatory compliance for the chief compliance officer or management team.
2. This committee ensures regulatory compliance is adhered by company, employees and is implemented and operational.
3. This committee ensures to prepare any other policies and standards needed to implement compliance thresholds set out by regulators for company's all core and peripheral business operations such as credit ratings, indices, credit ratings technologies and other related products and services added from time to time in the company's portfolio.
4. This committee shall also ensure company's core values of accuracy, precision, neutrality, independence and innovation are guided and practiced.
5. This committee shall provide objective recommendations and maintain its independence, accuracy and confidentiality wherever necessary.
6. This committee shall ensure the culture of corporate compliance in addition to regulatory compliance and promote all members and employees' responsibility and accountability to abide by ethics.
7. This committee shall enable a process of implementing compliance mechanisms of regulations, policies and company standards including ethics in business practices and entire operations of the company. The scope of this committee shall include all functions and operations of other committees and business segments of the company.
8. This committee reports to the board of the company. This committee shall evaluate, monitor and propose strategic compliance issues and submit feasible and corrective recommendations and plan to implement those recommendations.
9. This committee shall develop and assess policies and procedures to design compliance and risk related to internal and external regulations which can potentially impact company's business operations and active trading licences.
10. This committee shall oversee technology and regulatory threshold compliance about indices and attend scheduled meetings.
11. This committee is to oversee compliance in terms of government regulations, technology, risk, trading, products and services.